

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

15115/018001

In Re Application Of: Masaaki IKEDA et al.

Serial No.

10/084,641

Filing Date

February 27, 2002

Examiner

Group Art Unit

1733

Title: **MANUFACTURING METHODS AND APPARATUSES OF AN OPTICAL DEVICE AND A REFLECTION PLATE PROVIDED WITH A RESIN THIN FILM HAVING A MICRO-ASPERITY PATTERN**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15115/018001

In Re Application Of: Masaaki IKEDA et al.

Serial No.  
10/084,641Filing Date  
February 27, 2002

Examiner

-- Group Art Unit  
1733

Title: MANUFACTURING METHODS AND APPARATUSES OF AN OPTICAL DEVICE AND A REFLECTION PLATE PROVIDED WITH A RESIN THIN FILM HAVING A MICRO-ASPERITY PATTERN

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 8/6/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

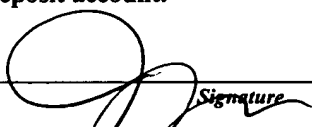
Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

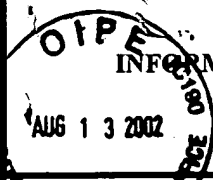
Dated: 8/6/02

COPY OF PAPERS  
ORIGINALLY FILED

  
Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney Street, Suite 2800  
Houston, Texas 77010

Telephone: 713-228-8600  
Facsimile: 713-228-8778

CC:

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	Docket Number (Original) <b>151 0018001</b>	Application Number <b>10/084,641</b>
	Applicant(s) <b>Masaaki IKEDA et al.</b>	
	Filing Date <b>February 27, 2002</b>	Group Art Unit <b>1733</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

RECEIVED  
 AUG 15 2002  
 TC 1700 MAIL ROOM

COPY OF PAPERS  
 ORIGINALLY FILED

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	A1	Patent Abstracts of Japan, Publication No. 04-296820, Publication date 10/21/1992, 2 pages
	A2	Patent Abstracts of Japan, Publication No. 05-080335, Publication date 04/02/1993, 3 pages

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.